

## Filing at a Glance

Companies: Athena Assurance Company, Fidelity and Guaranty Insurance Company, Fidelity and Guaranty Insurance Underwriters, Inc., St. Paul Fire and Marine Insurance Company, St. Paul Guardian Insurance Company, St. Paul Mercury Insurance Company, St. Paul Protective Insurance Company, United States Fidelity and Guaranty Company  
Product Name: CLM Division 3 - Crime; Non- SERFF Tr Num: TRVD-125237790 State: Arkansas

Adopt Multi-State Forms Revision

TOI: 26.0 Burglary & Theft

SERFF Status: Closed

State Tr Num: AR-PC-07-025561

Sub-TOI: 26.0001 Commercial Burglary & Theft Co Tr Num: 2007-07-0009

State Status:

Filing Type: Form

Co Status:

Reviewer(s): Betty Montesi,  
Llyweyia Rawlins, Brittany Yielding

Authors: Susan Boettcher, Nancy  
Sigstad

Disposition Date: 07-27-2007

Date Submitted: 07-24-2007

Disposition Status: Approved

Effective Date Requested (New): 10-01-2007

Effective Date (New): 10-01-2007

Effective Date Requested (Renewal): 10-01-2007

Effective Date (Renewal): 10-01-  
2007

## General Information

Project Name: CLM Division 3 - Crime; Non-Adopt Multi-State Forms  
Revision

Status of Filing in Domicile: Authorized

Project Number: 2007-07-0009

Domicile Status Comments: None

Reference Organization: Insurance Services Office

Reference Number: CR-2006-OFR06

Reference Title: LI-CR-2007-007; LI-CR-2006-098

Advisory Org. Circular: LI-CR-2007-021

Filing Status Changed: 07-27-2007

State Status Changed: 07-24-2007

Deemer Date:

Corresponding Filing Tracking Number:

Filing Description:

Commercial Lines Manual

Division 3 - Crime

Non-Adopt Multi-State Forms Revision

Our Company Filing Number: 2007-07-0009

## Company and Contact

### Filing Contact Information

Susan Boettcher, Regulatory Analyst  
385 Washington Street  
St. Paul, MN 55102

SBOETTCH@travelers.com  
(651) 310-8441 [Phone]  
(651) 310-4361[FAX]

**Filing Company Information**

Athena Assurance Company 385 Washington Street St. Paul, MN 55102 (651) 310-7782 ext. [Phone]	CoCode: 41769 Group Code: 3548 Group Name: FEIN Number: 41-1435765 -----	State of Domicile: Minnesota Company Type: State ID Number:
Fidelity and Guaranty Insurance Company 385 Washington Street St. Paul, MN 55102 (651) 310-7782 ext. [Phone]	CoCode: 35386 Group Code: 3548 Group Name: FEIN Number: 42-1091525 -----	State of Domicile: Iowa Company Type: State ID Number:
Fidelity and Guaranty Insurance Underwriters, Inc. 385 Washington Street St. Paul, MN 55102 (651) 310-7782 ext. [Phone]	CoCode: 25879 Group Code: 3548 Group Name: FEIN Number: 52-0616768 -----	State of Domicile: Wisconsin Company Type: State ID Number:
St. Paul Fire and Marine Insurance Company 385 Washington Street St. Paul, MN 55102 (651) 310-7782 ext. [Phone]	CoCode: 24767 Group Code: 3548 Group Name: FEIN Number: 41-0406690 -----	State of Domicile: Minnesota Company Type: State ID Number:
St. Paul Guardian Insurance Company 385 Washington Street St. Paul, MN 55102 (651) 310-7782 ext. [Phone]	CoCode: 24775 Group Code: 3548 Group Name: FEIN Number: 41-0963301 -----	State of Domicile: Minnesota Company Type: State ID Number:
St. Paul Mercury Insurance Company 385 Washington Street St. Paul, MN 55102 (651) 310-7782 ext. [Phone]	CoCode: 24791 Group Code: 3548 Group Name: FEIN Number: 41-0881659 -----	State of Domicile: Minnesota Company Type: State ID Number:
St. Paul Protective Insurance Company 385 Washington Street St. Paul, MN 55102 (651) 310-7782 ext. [Phone]	CoCode: 19224 Group Code: 3548 Group Name: FEIN Number: 36-2542404 -----	State of Domicile: Illinois Company Type: State ID Number:
United States Fidelity and Guaranty Company 385 Washington Street St. Paul, MN 55102 (651) 310-7782 ext. [Phone]	CoCode: 25887 Group Code: 3548 Group Name: FEIN Number: 52-0515280 -----	State of Domicile: Maryland Company Type: State ID Number:

## Filing Fees

Fee Required? No  
Retaliatory? No  
Fee Explanation:  
Per Company: No

COMPANY	AMOUNT	DATE PROCESSED	TRANSACTION #
St. Paul Protective Insurance Company	\$0.00	07-24-2007	
St. Paul Fire and Marine Insurance Company	\$0.00	07-24-2007	
St. Paul Guardian Insurance Company	\$0.00	07-24-2007	
St. Paul Mercury Insurance Company	\$0.00	07-24-2007	
Fidelity and Guaranty Insurance Underwriters, Inc.	\$0.00	07-24-2007	
United States Fidelity and Guaranty Company	\$0.00	07-24-2007	
Fidelity and Guaranty Insurance Company	\$0.00	07-24-2007	
Athena Assurance Company	\$0.00	07-24-2007	

## Correspondence Summary

### Dispositions

Status	Created By	Created On	Date Submitted
Approved	Llyweyia Rawlins	07-27-2007	07-27-2007

## **Disposition**

Disposition Date: 07-27-2007

Effective Date (New): 10-01-2007

Effective Date (Renewal): 10-01-2007

Status: Approved

Comment: Non-adopt

Rate data does NOT apply to filing.

Created by SERFF on 07-27-2007 05:18 PM

Item Type	Item Name	Item Status	Public Access
Supporting Document	Uniform Transmittal Document-Property & Casualty	Approved	Yes
Supporting Document	2007-07-0009 AR Filing Letter	Approved	Yes

## Rate Information

Rate data does NOT apply to filing.

## Supporting Document Schedules

<b>Satisfied -Name:</b>		<b>Review Status:</b>	
Uniform Transmittal Document- Property & Casualty		Approved	07-27-2007
<b>Comments:</b>			
<b>Attachments:</b>			
NAIC Transmittal Doc.pdf			
NAIC Form Filing Schedule.pdf			
<b>Satisfied -Name:</b>		<b>Review Status:</b>	
2007-07-0009 AR Filing Letter		Approved	07-27-2007
<b>Comments:</b>			
<b>Attachment:</b>			
2007-07-0009 AR ltr.pdf			



# Property & Casualty Transmittal Document

<b>1. Reserved for Insurance Dept. Use Only</b>	<b>2. Insurance Department Use only</b>	
	a. Date the filing is received:	
	b. Analyst:	
	c. Disposition:	
	d. Date of disposition of the filing:	
	e. Effective date of filing:	
	New Business	
	Renewal Business	
	f. State Filing #:	
	g. SERFF Filing #:	
h. Subject Codes		

<b>3. Group Name</b>	<b>Group NAIC #</b>
Travelers	3548

4. Company Name(s)	Domicile	NAIC #	FEIN #	State #
St. Paul Fire and Marine Insurance Company	MN	24767	41-0406690	
St. Paul Mercury Insurance Company	MN	24791	41-0881659	
St. Paul Guardian Insurance Company	MN	24775	41-0963301	
Athena Assurance Company	MN	41769	41-1435765	
St. Paul Protective Insurance Company	IL	19224	36-2542404	
United States Fidelity and Guaranty Company	MD	25887	52-0515280	
Fidelity and Guaranty Ins. Underwriters, Inc.	WI	25879	52-0616768	
Fidelity and Guaranty Insurance Company	IA	35386	42-1091525	

<b>5. Company Tracking Number</b>	<b>2007-07-0009</b>
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**Contact Info of Filer(s) or Corporate Officer(s)** [include toll-free number]

6. Name and address	Title	Telephone #s	FAX #	e-mail
Susan Boettcher 385 Washington Street St. Paul, MN 55012	Regulatory Analyst	651.310.8441 800.328.2189 Ext: 08441	651.310.4361	sboettch@travelers.com
7. Signature of authorized filer		<i>Susan Boettcher</i>		
8. Please print name of authorized filer		Susan Boettcher		

**Filing information** (see General Instructions for descriptions of these fields)

<b>9. Type of Insurance (TOI)</b>	26.0000 Burglary and Theft
<b>10. Sub-Type of Insurance (Sub-TOI)</b>	26.0001 Commercial
<b>11. State Specific Product code(s)</b> (if applicable)[See State Specific Requirements]	N/A
<b>12. Company Program Title</b> (Marketing title)	CLM Div. 3 - Crime
<b>13. Filing Type</b>	<input type="checkbox"/> Rate/Loss Cost <input type="checkbox"/> Rules <input type="checkbox"/> Rates/Rules <input checked="" type="checkbox"/> Forms <input type="checkbox"/> Combination Rates/Rules/Forms <input type="checkbox"/> Withdrawal <input type="checkbox"/> Other (give description)
<b>14. Effective Date(s) Requested</b>	New: 10/01/2007      Renewal: 10/01/2007
<b>15. Reference Filing?</b>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>16. Reference Organization</b> (if applicable)	Insurance Services Office
<b>17. Reference Organization # &amp; Title</b>	CR-2006-OFR06
<b>18. Company's Date of Filing</b>	July 25, 2007
<b>19. Status of filing in domicile</b>	<input checked="" type="checkbox"/> Not Filed <input type="checkbox"/> Pending <input type="checkbox"/> Authorized <input type="checkbox"/> Disapproved

## Property & Casualty Transmittal Document—

<b>20. This filing transmittal is part of Company Tracking #</b>	2007-07-0009
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<b>21. Filing Description</b> [This area can be used in lieu of a cover letter or filing memorandum and is free-form text]
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The Insurance Services Office has filed on our behalf a revision to the CLM Division 3 – Crime, Multi-State Forms Revision, under ISO Filing Designation Number CR-2006-OFR06. These changes are applicable to all policies written on or after October 1, 2007.

This is to advise you of our intent to Non-Adopt Effective October 1, 2007.

<b>22. Filing Fees</b> (Filer must provide check # and fee amount if applicable) [If a state requires you to show how you calculated your filing fees, place that calculation below]
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**Arkansas**

**Check #:** None

**Amount:**

**Refer to each state's checklist for additional state specific requirements or instructions on calculating fees.**

**\*\*\*Refer to the each state's checklist for additional state specific requirements (i.e. # of additional copies required, other state specific forms, etc.)**

**FORM FILING SCHEDULE**

(This form must be provided ONLY when making a filing that includes forms)  
 (Do not refer to the body of the filing for the forms listing, unless allowed by state.)

<b>1.</b>	<b>This filing transmittal is part of Company Tracking #</b>		<b>2007-07-0009</b>		
<b>2.</b>	<b>This filing corresponds to rate/rule filing number</b> (Company tracking number of rate/rule filing, if applicable)		<b>N/A</b>		
<b>3.</b>	<b>Form Name /Description/Synopsis</b>	<b>Form # Include edition date</b>	<b>Replacement or Withdrawn?</b>	<b>If replacement, give form # it replaces</b>	<b>Previous state filing number, if required by state</b>
01	N/A - Non-Adopt	N/A - Non-Adopt	<input type="checkbox"/> New <input type="checkbox"/> Replacement <input type="checkbox"/> Withdrawn		
02			<input type="checkbox"/> New <input type="checkbox"/> Replacement <input type="checkbox"/> Withdrawn		
03			<input type="checkbox"/> New <input type="checkbox"/> Replacement <input type="checkbox"/> Withdrawn		
04			<input type="checkbox"/> New <input type="checkbox"/> Replacement <input type="checkbox"/> Withdrawn		
05			<input type="checkbox"/> New <input type="checkbox"/> Replacement <input type="checkbox"/> Withdrawn		
06			<input type="checkbox"/> New <input type="checkbox"/> Replacement <input type="checkbox"/> Withdrawn		
07			<input type="checkbox"/> New <input type="checkbox"/> Replacement <input type="checkbox"/> Withdrawn		
08			<input type="checkbox"/> New <input type="checkbox"/> Replacement <input type="checkbox"/> Withdrawn		
09			<input type="checkbox"/> New <input type="checkbox"/> Replacement <input type="checkbox"/> Withdrawn		
10			<input type="checkbox"/> New <input type="checkbox"/> Replacement <input type="checkbox"/> Withdrawn		



Susan Boettcher  
Regulatory Analyst  
Regulatory Affairs, Business Insurance  
Toll Free: 800.328.2189 Ext. 08441  
Direct: 651.310.8441; Fax: 651.310.4361  
385 Washington Street, MC 9275-NB14L  
St. Paul, MN 55102  
sboettch@travelers.com

July 25, 2007

Commissioner Julie Benafield Bowman  
Commissioner of Insurance  
State of Arkansas  
1200 West Third Street, 3<sup>rd</sup> and Cross  
Little Rock, Arkansas 72201-1904

**ST. PAUL FIRE AND MARINE INSURANCE COMPANY – 3548 24767**  
**ST. PAUL MERCURY INSURANCE COMPANY – 3548 24791**  
**ST. PAUL GUARDIAN INSURANCE COMPANY – 3548 24775**  
**ATHENA ASSURANCE COMPANY – 3548 41769**  
**ST. PAUL PROTECTIVE INSURANCE COMPANY – 3548 19224**  
**UNITED STATES FIDELITY AND GUARANTY COMPANY – 3548 25887**  
**FIDELITY AND GUARANTY INSURANCE UNDERWRITERS, INC. – 3548 25879**  
**FIDELITY AND GUARANTY INSURANCE COMPANY – 3548 35386**

Commercial Lines Manual  
Division 3 - Crime  
Non-Adopt Multi-State Forms Revision  
**Our Company Filing Number: 2007-07-0009**

Dear Madam:

In compliance with the insurance laws and regulations of your state, we respectfully submit the following.

The Insurance Services Office has filed on our behalf a revision to the CLM Division 3 – Crime, Multi-State Forms Revision, under ISO Filing Designation Number CR-2006-OFR06. These changes are applicable to all policies written on or after October 1, 2007.

This letter is to advise you of our intent to Non-Adopt Effective October 1, 2007.

Your approval of this filing would be appreciated. Thank you for your assistance with this process.

Sincerely,

Susan Boettcher  
Regulatory Analyst  
SMB:nls